

IN THE UNITED STATES RECEIVING OFFICE

Applicant : Ewald Karl Michael Guenther, Zhong Chen and Brian Cotterell
Serial No. : 09/786,832
PCT Serial No.: PCT/SG99/00074
International Filing Date: July 9, 1999
Title : MECHANICAL PATTERNING OF A DEVICE LAYER

BOX PCT

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Responsive to the Notification of Missing Requirements under 35 U.S.C. 371,
mailed April 13, 2001 (copy enclosed), Applicant as a large entity submits herewith the
following:

- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or
declaration.
- ☒ A check in the total amount of \$130 is attached.
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR
§1.63; and
- ☒ Other: Postcard

It is understood that this perfects the application and no additional papers or filing fees
are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

06/19/2001 LLANDGRA 00000051 09786832

01 FC:154

130.00 DP

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL 631 197 233 US

June 13, 2001

Date of Deposit

Applicant : Ewald, Michael Guenther, Zhong
Chen and Brian Cotterell
Serial No. : 09/786,832
Filed : March 9, 2001
Page : 2

Attorney Docket No.: 12406-014001 / 1999P8116US
E/GS

Respectfully submitted,

Date: 6/12/01

David J. Goren
David J. Goren
Reg. No. 34,609

Fish & Richardson P.C.
2200 Sand Hill Road, Suite 100
Menlo Park, CA 94025
Telephone: (650) 322-5070
Facsimile: (650) 854-0875

50050397.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

Docketed By	Secretary
Due	
Dec.	
Initials	

CAVSI
MSB

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/786832	GUENTHER	E 12406-014001
DAVID J GOREN FISH & RICHARDSON 2200 SAND HILL ROAD SUITE 100 MENLO PARK, CA 94025		INTERNATIONAL APPLICATION NO. PCT/SG99/00074
Dated By: <u>12-13-01</u> Initials: <u>SPV</u> Record: <u>11-13-01</u>		I.A. FILING DATE 09 JUL 99 PRIORITY DATE 09 JUL 99
DATE MAILED: 13 APR 2001		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

<input checked="" type="checkbox"/> U.S. Basic National Fee.	<input type="checkbox"/> Indication of Small Entity Status.
<input checked="" type="checkbox"/> Copy of the international application.	<input checked="" type="checkbox"/> Translation of the international application into English.
<input type="checkbox"/> Oath or Declaration of inventor(s).	<input type="checkbox"/> Translation of Article 19 amendments into English.
<input type="checkbox"/> Copy of Article 19 amendments.	<input type="checkbox"/> Other:
<input type="checkbox"/> Priority Document.	
<input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.	
<input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.	
- ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

<input type="checkbox"/> U.S. Basic National Fee.	<input type="checkbox"/> Copy of the international application.
---	---
- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

<input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
<input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
<input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
<input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
<input type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
<input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
- ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- | | |
|--|--|
| Enclosed: <input type="checkbox"/> PCT/DO/EO/917 | <input type="checkbox"/> Notice of Defective Translation |
| <input type="checkbox"/> PTO-875 | <input type="checkbox"/> PCT/DO/EO/920 |

Pat Booker, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3738

RECEIVED

APR 17 2001